



Scientific Documentation

PO132, Polysorbate 20, NF

Not appropriate for regulatory submission.
Please visit www.spectrumchemical.com or
contact Tech Services for the most up-to-date
information contained in this information
package.

Spectrum Chemical Mfg Corp

769 Jersey Avenue
New Brunswick, NJ 08901
Phone 732.214.1300

Ver4.01 22.April.2016



Dear Customer,

Thank you for your interest in Spectrum's quality products and services.

Spectrum has been proudly serving our scientific community for over 45 years. It is our mission to manufacture and distribute fine chemicals and laboratory products with Quality and delivery you can count on every time.

To accomplish our mission, Spectrum utilizes our sourcing leverage and supplier qualification expertise in offering one of the industry's most comprehensive line of fine chemical products under one brand, in packaging configurations designed to meet your research and production requirements. Our product grades include: USP, NF, BP, EP, JP, FCC, ACS, KSA, Reagent grade, as well as DEA controlled substances. We operate facilities in the United States on the East Coast, West Coast, as well as in Shanghai, China in order to provide the best logistical support for our customers.

At Spectrum, Quality is priority number one. Suppliers with the best qualifications are preferred and we employ full-functioning in-house analytical laboratories at each of our facilities. Our facilities and systems are USFDA registered and ISO certified. We frequently host customer audits and cherish opportunities for improvements. Quality is engrained into our culture. Quality is priority number one.

In the following pages, we have designed and prepared documented scientific information to aid you in your initial qualification or your continual use of our products. Please do not hesitate to contact us if further information or the most up-to-date documentation is desired from any of the covered areas.

We appreciate your business and we look forward to hearing from you.

Sincerely,

A handwritten signature in black ink, appearing to read "Alan Wang", with a large, sweeping flourish at the end.

Alan Wang, MSQA, ASQ CQA
Senior Manager, Global Supplier Quality Assurance
qualityassurance@spectrumchemical.com

22.Apr.2016

PO132, Polysorbate 20, NF

Table of Contents

- Product Specification
- Safety Data Sheet (SDS)
- Manufacturer
- Manufacturing Process Flowchart
- Certification of Quality Mangement System (QMS)
- Certification of current Good Manufacturing Practices (cGMP)
- Product BSE/TSE Statement
- Product Allergen Statement
- Product Aflatoxin Statement
- General Label Information – Sample Label
- Product Certificate of Analysis Sample(s)
- General Lot Numbering System Guidance
- Stability – Shelf Life Guidance

spectrum[®]
CHEMICAL MFG CORP



Specification for Polysorbate 20, NF (PO132)

Item Number	PO132
Item	Polysorbate 20, NF
CAS Number	9005-64-5
Molecular Formula	
Molecular Weight	
MDL Number	
Synonyms	Sorbimacrogol Laurate 300; Polyoxyethylene (20) Sorbitan Monolaurate; Tween 20

Test	Specification	
	Min	Max
SAPONIFICATION VALUE	40 - 50	
HYDROXYL VALUE	96 - 108	
COMPOSITION OF FATTY ACIDS	TO PASS TEST	
WATER		3.0 %
PEROXIDE VALUE		10.0
ACID VALUE		2.0
RESIDUE ON IGNITION		0.25 %
HEAVY METALS		0.001 %
DIOXANE		10 ppm
ETHYLENE OXIDE		1 ppm
IDENTIFICATION	TO PASS TEST	
RETEST DATE		
RESIDUAL SOLVENTS	TO PASS TEST	

Spectrum Chemical Mfg Corp

Corporate Headquarters:
769 Jersey Ave.
New Brunswick, NJ 08901
732.214.1300

West Coast Facility:
14422 S. San Pedro St.
Gardena, CA 90248
310.516.8000

SAFETY DATA SHEET

Preparation Date: 2/23/2015

Revision Date: 01/18/2016

Revision Number: G2

1. IDENTIFICATION

Product identifier

Product code: PO132
Product Name: POLYSORBATE 20, NF

Other means of identification

Synonyms: Polyoxyethylene (20) sorbitan monolaurate; Polyoxyethylene sorbitan monolaurate; Tween 20
CAS #: 9005-64-5
RTECS # TR7400000
CI#: Not available

Recommended use of the chemical and restrictions on use

Recommended use: No information available.
Uses advised against No information available

Supplier: Spectrum Chemical Mfg. Corp
14422 South San Pedro St.
Gardena, CA 90248
(310) 516-8000

Order Online At: <https://www.spectrumchemical.com>

Emergency telephone number Chemtrec 1-800-424-9300
Contact Person: Martin LaBenz (West Coast)
Contact Person: Ibad Tirmiz (East Coast)

2. HAZARDS IDENTIFICATION

Classification

This chemical is not considered hazardous by the 2012 OSHA Hazard Communication Standard (29 CFR 1910.1200)
Not a dangerous substance or mixture according to the Globally Harmonized System (GHS)

Label elements

Not classified

Hazards not otherwise classified (HNOC)

Not Applicable

Other hazards

Not available

3. COMPOSITION/INFORMATION ON INGREDIENTS

Components	CAS-No.	Weight %
Polysorbate 20 9005-64-5	9005-64-5	100

4. FIRST AID MEASURES

First aid measures

General Advice:

Poison information centers in each State capital city can provide additional assistance for scheduled poisons (13 1126)

Skin Contact:

Wash off immediately with soap and plenty of water removing all contaminated clothes and shoes. Get medical attention if irritation develops. Consult a physician if necessary.

Eye Contact:

Flush eyes with water for 15 minutes. Get medical attention if irritation occurs. If symptoms persist, call a physician.

Inhalation:

Move to fresh air. If not breathing, give artificial respiration. If breathing is difficult, give oxygen. Get medical attention.

Ingestion:

Do not induce vomiting without medical advice. Never give anything by mouth to an unconscious person. Consult a physician if necessary.

Most important symptoms and effects, both acute and delayed

Symptoms

Health injuries are not known or expected under normal use.

Indication of any immediate medical attention and special treatment needed

Notes to Physician:

Treat symptomatically

Protection of first-aiders

First-Aid Providers: Avoid exposure to blood or body fluids. Wear gloves and other necessary protective clothing. Dispose of contaminated clothing and equipment as bio-hazardous waste

5. FIRE-FIGHTING MEASURES

Extinguishing Media

Suitable Extinguishing Media:

Carbon dioxide (CO₂). Dry chemical. Water spray mist or foam.

Unsuitable Extinguishing Media:

No information available.

Specific hazards arising from the chemical

Hazardous Combustion Products:

Carbon oxides

Specific hazards:

May be combustible at high temperatures
May be ignited by heat, sparks or flames
Container explosion may occur under fire conditions or when heated

Special Protective Actions for Firefighters

Specific Methods:

Water mist may be used to cool closed containers.

Special Protective Equipment for Firefighters:

As in any fire, wear self-contained breathing apparatus pressure-demand, MSHA/NIOSH (approved or equivalent) and full protective gear

6. ACCIDENTAL RELEASE MEASURES

Personal precautions, protective equipment and emergency procedures

Personal Precautions: Ensure adequate ventilation. Do not touch damaged containers or spilled material unless wearing appropriate protective clothing. Avoid contact with skin, eyes and clothing. Use personal protective equipment. Remove all sources of ignition.

Environmental precautions Prevent further leakage or spillage if safe to do so. Prevent product from entering drains.

Methods and material for containment and cleaning up

Methods for containment Stop leak if you can do it without risk. Absorb spill with inert material (e.g. vermiculite, dry sand or earth). In case of large spill, dike if needed. Dike far ahead of liquid spill for later disposal.

Methods for cleaning up Use appropriate tools to put the spilled material in a suitable chemical waste disposal container. Clean contaminated surface thoroughly.

7. HANDLING AND STORAGE

Precautions for safe handling

Technical Measures/Precautions:

Provide sufficient air exchange and/or exhaust in work rooms. Remove all sources of ignition. Keep away from incompatible materials.

Safe Handling Advice

Wear personal protective equipment. Use only in well-ventilated areas. Avoid contact with skin, eyes and clothing. Keep away from heat and sources of ignition. Do not breathe vapors or spray mist. Do not ingest. Handle in accordance with good industrial hygiene and safety practice.

Conditions for safe storage, including any incompatibilities

Technical Measures/Storage Conditions:

Keep container tightly closed in a dry and well-ventilated place. Store at room temperature in the original container. Store away from incompatible materials. Sensitive to light. Store in light-resistant containers. Moisture sensitive.

Incompatible Materials:

Oxidizing agents.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Control parameters

National occupational exposure limits

United States

Components	OSHA	NIOSH	ACGIH	AIHA WHEEL
Polysorbate 20 9005-64-5	None	None	None	None

Canada

Components	Alberta	British Columbia	Ontario	Quebec
Polysorbate 20 9005-64-5	None	None	None	None

Australia and Mexico

Components	Australia	Mexico
Polysorbate 20 9005-64-5	None	None

Appropriate engineering controls

Engineering measures to reduce exposure: Ensure adequate ventilation. Provide exhaust ventilation or other engineering controls to keep the airborne concentrations of vapors and mist below their respective threshold limit value.

Individual protection measures, such as personal protective equipment

Personal Protective Equipment

- Eye protection:** Goggles.
- Skin and body protection:** Chemical resistant apron. Long sleeved clothing. Gloves.
- Respiratory protection:** Respiratory protection is not necessary for normal handling. Good room ventilation or use of local exhaust (fume hood) is sufficient. Use a vapor respirator under conditions where exposure to the substance is apparent (e.g. generation of high concentrations of mist or vapor, inadequate ventilation, development of respiratory tract irritation), and engineering controls are not feasible. Be sure to use an approved/certified respirator or equivalent. .
- Hygiene measures:** Avoid contact with skin, eyes and clothing. Wash hands before breaks and immediately after handling the product. When using, do not eat, drink or smoke.

9. PHYSICAL AND CHEMICAL PROPERTIES

9. PHYSICAL AND CHEMICAL PROPERTIES

Physical state: Liquid	Appearance: No information available	Color: Yellow.
Odor: No information available	Taste: Bitter.	Formula: C58H114O26
Molecular/Formula weight: 1227.72	Flammability: No information available	Flash point (°C): No data available
Flashpoint (°C/°F): >148.89°C/300°F	Flash Point Tested according to: Closed cup	Autoignition Temperature (°C/°F): No information available
Lower Explosion Limit (%): No information available	Upper Explosion Limit (%): No information available	pH: No information available
Melting point/range(°C/°F): No information available	Boiling point/range(°C/°F): >100°C/212°F	Decomposition temperature(°C/°F): No information available
Bulk density: No information available	Density (g/cm3): No information available	Specific gravity: 1.1
Vapor pressure @ 20°C (kPa): <0.1	Evaporation rate: No information available	Vapor density: No information available
VOC content (g/L): No information available	Odor threshold (ppm): No information available	Partition coefficient (n-octanol/water): No information available
Viscosity: No information available	Miscibility: No information available	Solubility: Soluble in Water Soluble in Isopropanol Soluble in Methanol Insoluble in mineral oil Soluble in dioxane Soluble in Alcohol Soluble in ethyl acetate Dispersible in cottonseed oil, Ethylene glycol, and Propylene glycol

10. STABILITY AND REACTIVITY

Reactivity

Reactive with oxidizing agents

Chemical stability

Stability:

Sensitive to light. Exposure to light accelerates decomposition. Stable under recommended storage conditions.

Possibility of Hazardous Reactions:

Hazardous polymerization does not occur

Conditions to avoid:

Heat. Ignition sources. Incompatible materials. Exposure to light. Exposure to moisture.

Incompatible Materials:

Oxidizing agents.

Hazardous decomposition products:

Carbon oxides. When heated to decomposition it emits acrid smoke and irritating fumes.

Other Information

Corrosivity:

No information available

Special Remarks on Corrosivity: No information available

11. TOXICOLOGICAL INFORMATION

Information on likely routes of exposure

Principal Routes of Exposure:

Eyes. Ingestion. Inhalation. Skin.

Acute Toxicity

Component Information

Polysorbate 20 - 9005-64-5

LD50/oral/rat = = 36700 µL/kg Oral LD50 Rat

LD50/oral/mouse = >33 g/kg Oral LD50 Mouse

LD50/dermal/rat = No information available

LD50/dermal/rabbit = No information available

LC50/inhalation/rat = No information available

LC50/inhalation/mouse = No information available

Other LD50 or LC50 information = 2640 mg/kg Intraperitoneal LD50 Mouse;

3850 mg/kg Intraperitoneal LD50 Rat;

1420 mg/kg Intravenous LD50 Mouse;

770 mg/kg Intravenous LD50 Rat;

18 mL/kg Oral LD50 Hamster

Product Information

LD50/oral/rat =

VALUE- Acute Tox Oral = 40370mg/kg

LD50/oral/mouse =

Value - Acute Tox Oral = >33000mg/kg

LD50/dermal/rabbit

VALUE-Acute Tox Dermal = No information available

LD50/dermal/rat

VALUE -Acute Tox Dermal = No information available

LC50/inhalation/rat

VALUE-Vapor = No information available

VALUE-Gas = No information available

VALUE-Dust/Mist = No information available

LC50/Inhalation/mouse

VALUE-Vapor = No information available

VALUE - Gas = No information available

VALUE - Dust/Mist = No information available

Symptoms

Skin Contact: May cause skin irritation. It is not likely to be absorbed through the skin.

Eye Contact: May cause eye irritation.

Inhalation May cause irritation of respiratory tract.

Ingestion May cause hypermotility, diarrhea. The most notable effect observed at higher doses is diarrhea, an expected, non-specific physiological effect associated with having large quantities of a relatively slowly absorbed material in the gut.

Aspiration hazard No information available

Delayed and immediate effects as well as chronic effects from short and long-term exposure

Chronic Toxicity Prolonged or repeated ingestion may cause hypermotility and diarrhea
The Registry of Toxic Effects of Chemical Substances noted that according to animal studies, prolonged or repeated ingestion of large amounts may also affect the liver (fatty liver degeneration), urinary system, and blood (pigmented or nucleated red blood cells, changes in red blood cell count)

Sensitization: No information available

Mutagenic Effects: No information available

Carcinogenic effects: Not considered carcinogenic

Components	IARC	ACGIH - Carcinogens	NTP	OSHA HCS - Carcinogens	Australia - Notifiable Carcinogenic Substances	Australia - Prohibited Carcinogenic Substances
Polysorbate 20	Not listed	Not listed	Not listed	Not listed	Not listed	Not listed

Reproductive toxicity No data is available

Reproductive Effects: No information available

Developmental Effects: No information available

Teratogenic Effects: No information available

Specific Target Organ Toxicity

STOT - single exposure No information available

STOT - repeated exposure No information available

Target Organs: No information available

12. ECOLOGICAL INFORMATION

Ecotoxicity

Ecotoxicity effects: No data available.

Persistence and degradability: No information available

Bioaccumulative potential: No information available

Mobility: No information available

13. DISPOSAL CONSIDERATIONS

13. DISPOSAL CONSIDERATIONS

Disposal Methods

Waste from residues / unused products:

Waste must be disposed of in accordance with Federal, State and Local regulation.

Contaminated packaging:

Empty containers should be taken for local recycling, recovery or waste disposal

Components	RCRA - F Series Wastes	RCRA - K Series Wastes	RCRA - P Series Wastes	RCRA - U Series Wastes
Polysorbate 20	None	None	None	None

14. TRANSPORT INFORMATION

DOT

UN-No: Not Regulated
Proper Shipping Name: No information available
Hazard Class: No information available
Subsidiary Risk: No information available
Packing Group: None
ERG No: No information available
Marine Pollutant: No data available
DOT RQ (lbs): No information available

TDG (Canada)

UN-No: Not Regulated
Proper Shipping Name: No information available
Hazard Class: No information available
Subsidiary Risk: No information available
Packing Group: No information available
Description: No information available

ADR

UN-No: Not Regulated
Proper Shipping Name: No information available
Hazard Class: No information available
Packing Group: No information available
Subsidiary Risk: No information available
Classification Code: No information available
Description: No information available
CEFIC Tremcard No: No information available

IMO / IMDG

UN-No: Not Regulated
Proper Shipping Name: No information available
Hazard Class: No information available
Subsidiary Risk: No information available
Packing Group: No information available
Description: No information available
IMDG Page: No information available
Marine Pollutant: No information available
MFAG: No information available
Maximum Quantity: No information available

RID

14. TRANSPORT INFORMATION

UN-No: Not Regulated
Proper Shipping Name: No information available
Hazard Class: No information available
Subsidiary Risk: No information available
Packing Group: No information available
Classification Code: No information available
Description: No information available

ICAO

UN-No: Not Regulated
Proper Shipping Name: No information available
Hazard Class: No information available
Subsidiary Risk: No information available
Packing Group: No information available
Description: No information available

IATA

UN-No: Not Regulated
Proper Shipping Name: No information available
Hazard Class: No information available
Subsidiary Risk: No information available
Packing Group: No information available
Description: No information available

15. REGULATORY INFORMATION

International Inventories

Components	U.S. TSCA	KOREA KECL	Philippines (PICCS)	Japan ENCS	CHINA	Australia (AICS)	EINECS-No.
<i>Polysorbate 20</i>	Present XU	Present KE-31681	Present	Present (8)-55	Present	Present	Not present

U.S. Regulations

Polysorbate 20

FDA - Direct Food Additives 21 CFR 172.515
FDA - 21 CFR - Total Food Additives 172.515 173.310 175.105 178.3400

California Prop. 65: Safe Drinking Water and Toxic Enforcement Act of 1986.

Chemicals Known to the State of California to Cause Cancer:

This product does not contain a chemical requiring a warning under California Prop. 65. (See table below)

Chemicals Known to the State of California to Cause Reproductive Toxicity:

This product does not contain a chemical requiring a warning under California Prop. 65. (See table below)

Components	Carcinogen	Developmental Toxicity	Male Reproductive Toxicity	Female Reproductive Toxicity:
<i>Polysorbate 20</i>	Not Listed	Not Listed	Not Listed	Not Listed

CERCLA/SARA

Components	CERCLA - Hazardous Substances and their Reportable Quantities	Section 302 Extremely Hazardous Substances and TPQs	Section 302 Extremely Hazardous Substances and RQs	Section 313 - Chemical Category	Section 313 - Reporting <i>de minimis</i>
<i>Polysorbate 20</i>	None	None	None	None	None

U.S. TSCA

Components	TSCA Section 5(a)2 - Chemicals With Significant New Use Rules (SNURS)	TSCA 8(d) -Health and Safety Reporting
Polysorbate 20	Not Applicable	Not Applicable

Canada

WHMIS hazard class:

Non-controlled

Polysorbate 20

Uncontrolled product according to WHMIS classification criteria

Canada Controlled Products Regulation:

This product has been classified according to the hazard criteria of the CPR (Controlled Products Regulation) and the MSDS contains all of the information required by the CPR.

Inventory

Components	Canada (DSL)	Canada (NDSL)
Polysorbate 20	Present	Not Listed

Components	CEPA Schedule I - Toxic Substances	CEPA - 2010 Greenhouse Gases Subject to Mandatory Reporting
Polysorbate 20	Not listed	Not listed

EU Classification

R-phrase(s)

not determined (not applicable)

S -phrase(s)

none

Components	Classification	Concentration Limits:	Safety Phrases
Polysorbate 20		No information	

The product is classified in accordance with Annex VI to Directive 67/548/EEC

Indication of danger:

None.

16. OTHER INFORMATION

Preparation Date: 2/23/2015
Revision Date: 01/18/2016
Prepared by: Sonia Owen

Disclaimer:

All chemicals may pose unknown hazards and should be used with caution. This Safety Data Sheet (SDS) applies only to the material as packaged. If this product is combined with other materials, deteriorates, or becomes contaminated, it may pose hazards not mentioned in this SDS. The physical properties reported in this SDS are obtained from the literature and do not constitute product specifications. Information contained herein does not constitute a warranty, whether expressed or implied, as to the safety, merchantability or fitness of the goods for a particular purpose. Spectrum Chemicals & Laboratory Products, Inc. assumes no responsibility for results obtained or for incidental or consequential damages, including lost profits, arising from the use of these data. No warranty against infringement of any patent, copyright or trademark is made or implied. It shall be the user's responsibility to develop proper methods of handling and personal protection based on the actual conditions of use. While this SDS is based on technical data judged to be reliable, Spectrum assumes no responsibility for the completeness or accuracy of the information contained herein.

End of Safety Data Sheet



Manufacturer

Product Covered: PO132, Polysorbate 20, NF

Spectrum takes ownership as the manufacturer for the Spectrum product listed above and provides full quality assurance, customer service, and technical product support.

While information concerning the raw material manufacturer of our starting materials is considered proprietary, such information can be made available upon request contingent on demonstration of business volume justification and successful execution of confidential disclosure agreement (CDA).

Requests for disclosure of proprietary raw material manufacturer information may be submitted to the assigned account representative for consideration.

Sincerely,

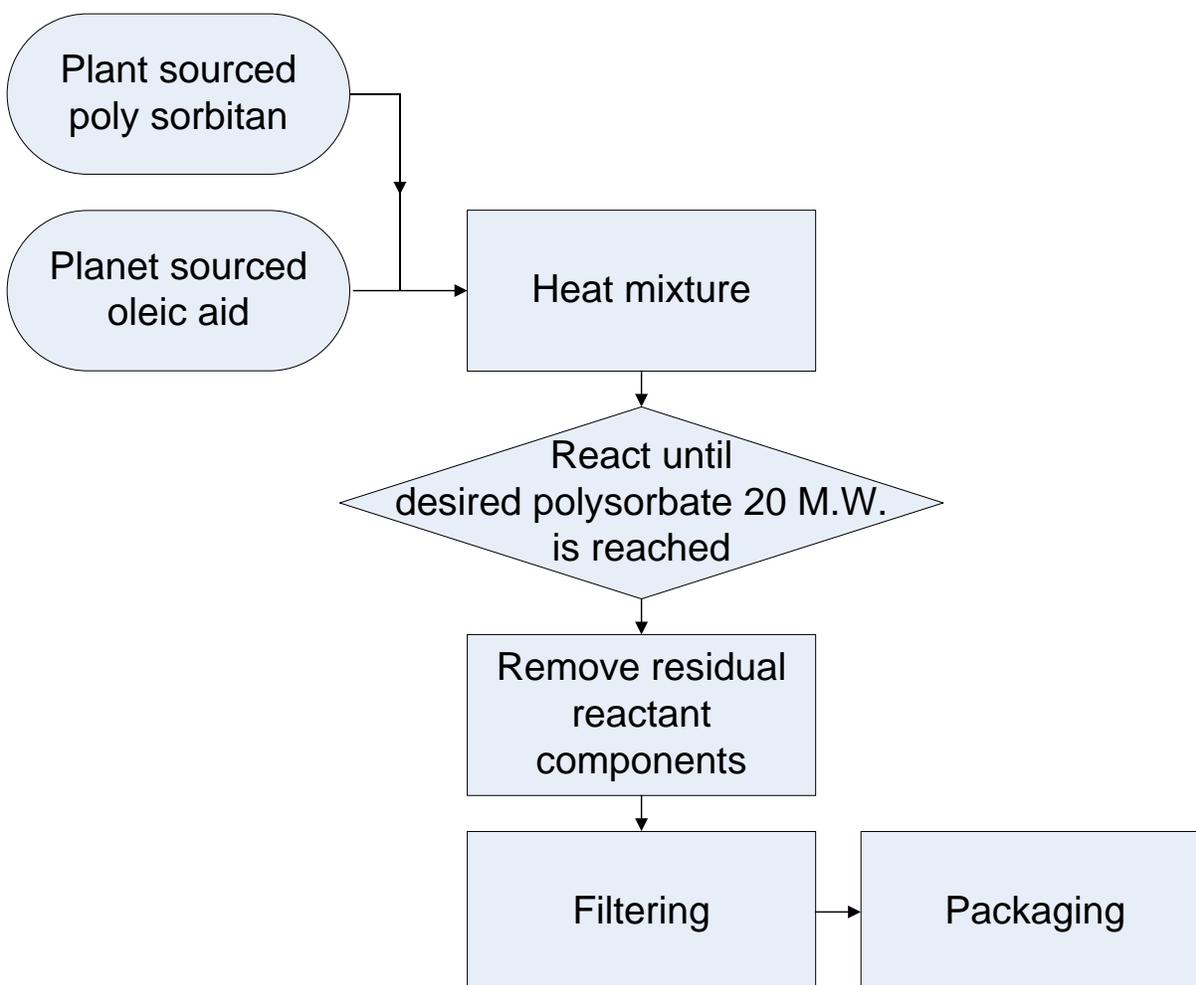
A handwritten signature in black ink, appearing to read "Alan Wang", is written over a light gray rectangular background.

Alan Wang, MSQA, ASQ CQA
Senior Manager, Global Supplier Quality Assurance
qualityassurance@spectrumchemical.com

22.Apr.2016

Manufacturing Process Flowchart

Polysorbate 20, NF - PO132



Spectrum Chemical Mfg Corp

Corporate Headquarters:

769 Jersey Ave.
New Brunswick, NJ 08901
732.214.1300

West Coast Facility:

14422 S. San Pedro St.
Gardena, CA 90248
310.516.8000

Certificate of Registration



This is to certify that the quality management system of

Spectrum Chemicals and Laboratory Products, Inc

Main Site: 14422 South San Pedro Street, Gardena, California, 90248, USA

Additional Sites: 13915 South Main Street, Los Angeles, California, 90061, USA

755 Jersey Avenue, New Brunswick, New Jersey, 08901, USA

769 Jersey Avenue, New Brunswick, New Jersey, 08901, USA

7400 North Oracle Road, Suite 221, Tucson, Arizona, 85704, USA

has been assessed and registered by Intertek as conforming to the requirements of

ISO 9001:2008

The quality management system is applicable to

California and New Jersey sites: The manufacture and distribution of specialty fine chemicals, solutions, equipment, supplies and products for the laboratory, pharmaceutical, food, healthcare, cosmetic, fragrance, biotechnology, environmental and process industries.

Arizona site: Supporting Spectrum's two manufacturing facilities by: selecting and qualifying distributors of Laboratory and Safety Equipment; processing bids and quotes to generate new sales opportunities; sourcing bulk chemicals in support of bulk bids and quotes; assisting production operations through order expediting and IT infrastructure support.

Certificate Number: 94-316m-01
Initial Certification Date: 27 December 1994
Certificate Issue Date: 29 January 2016
Certificate Expiry Date: 14 September 2018



Calin Moldovean, President
Intertek Testing Services NA, Inc.
900 Chelmsford Street, Suite 301-3, Lowell, MA, USA



In the issuance of this certificate, Intertek assumes no liability to any party other than to the Client, and then only in accordance with the agreed upon Certification Agreement. This certificate's validity is subject to the organization maintaining their system in accordance with Intertek's requirements for systems certification. Validity may be confirmed via email at certificate.validation@intertek.com or by scanning the code to the right with a smartphone.

The certificate remains the property of Intertek, to whom it must be returned upon request.

CT-ISO 9001-2008-ANAB-EN-LT-L-26.jun.15

Certificate of Registration

Intertek

This is to certify that the quality management system of

Spectrum China Ltd.

Building A20, No. 3802, Shengang Road, Songjiang District, Shanghai, China

has been assessed and registered by Intertek as conforming to the requirements of

ISO 9001: 2008

The quality management system is applicable to:

Provision of service of purchase, testing and sales for chemical products, repacking of non-hazardous chemical products.

Organization Code: 77762704-8
Certificate Number: 111310001-01
Certificate Issue Date: 17 November 2015
Certificate Expiry Date: 14 September 2018



014

A handwritten signature in black ink, appearing to read "Calin Moldovean".

Authorised Signature: Calin Moldovean – President, Business Assurance
Intertek Certification Limited, 10A Victory Park, Victory Road, Derby DE24 8ZF, United Kingdom
Intertek Certification Limited is a UKAS accredited body under schedule of accreditation no. 014.

In the issuance of this certificate, Intertek assumes no liability to any party other than to the client, and then only in accordance with the agreed upon Certification Agreement. This certificate's validity is subject to the organization maintaining their system in accordance with Intertek's requirements for systems certification. Validity may be confirmed via email at certificate.validation@intertek.com or by scanning the code to the right with a smartphone.
The annual validity of the certificate can also be checked through the website <http://www.cnca.gov.cn> of CNCA in China.



The certificate remains the property of Intertek, to whom it must be returned upon request.



March 28, 2016

Certificate of cGMP

Dear Valued Customer:

Spectrum Chemicals and Laboratory Products certify that our USP, NF, FCC, EP, BP, JP, and food grade products are produced, processed, packaged and held in compliance with current Good Manufacturing Practices (cGMP) in accordance with the applicable parts of 21 CFR, parts 210 and 211 of the Code of Federal Regulations.

Spectrum is an FDA registered and inspected drug establishment. Our United States Food and Drug Administration (USFDA) Registration numbers are as follows:

Spectrum-Gardena, CA:	<u>2020632</u>
Spectrum-New Brunswick, NJ:	<u>2246824</u>
Spectrum -Shanghai, China:	<u>3006174778</u>

Thank you for your interest with Spectrum products. Please feel free to contact the Quality Assurance department at 310-516-8000 or via email at qualityassurance@spectrumchemical.com if we may be of further assistance.

Sincerely,

A handwritten signature in cursive script that reads "Michelle Weston".

Michelle Weston
Quality Assurance Specialist



April 13, 2016

Certificate of cGMP

Dear Valued Customer:

Spectrum Chemicals and Laboratory Products certify that the following product(s) is produced, processed, packaged and held in compliance with current Good Manufacturing Practices (cGMP) in accordance with the applicable parts of 21 CFR, parts 210 and 211 of the Code of Federal Regulations.

Catalog Number	Product Name
PO132	Polysorbate 20, NF

Spectrum is an FDA registered and inspected drug establishment. Our United States Food and Drug Administration (USFDA) Registration numbers are as follows:

Spectrum-Gardena, CA: 2020632
Spectrum-New Brunswick, NJ: 2246824
Spectrum-Shanghai, China: 3006174778

Thank you for your interest with Spectrum products. Please feel free to contact the Quality Assurance department at 310-516-8000 or via email at qualityassurance@spectrumchemical.com if we may be of further assistance.

Sincerely,

Michelle Weston
Quality Assurance Specialist



April 19, 2016

Re: Polysorbate 20, NF- PO132

To Whom It May Concern:

Thank you for your interest in Spectrum high quality chemicals.

We at Spectrum Chemical Mfr. Corp. understand the concern regarding Bovine Spongiform Encephalopathy (BSE). Please be assured that the chemical

Polysorbate 20, NF- PO132

is BSE/TSE free.

If you have any further questions, please contact Tech Services at (310) 516-8000 Extension 5471, or by email at techservices@spectrumchemical.com.

Sincerely,

Darlene Dagdag-Lyudmirskiy
Technical Services
Spectrum Chemical Mfr. Corp.

This document has been produced electronically and is valid without a signature



April 19, 2016

Re: Polysorbate 20, NF- PO132

To Whom It May Concern:

Thank you for your interest in Spectrum high quality chemicals.

We at Spectrum Chemical Mfr. Corp. understand the concern regarding the presence of allergens in raw materials. Please be assured that the following chemical does not contain (Milk; Egg; Fish; Shellfish; Sulfur dioxide and sulfites at concentrations of more than 10 mg/kg or 10 mg/liter expressed as SO₂; Tree nuts; Wheat; Peanuts; Soy; Cereals containing Gluten; Celery; Mustard; Sesame Seed).

Polysorbate 20, NF- PO132

If you have any further questions, please contact Tech Services at (310) 516-8000 Extension 5471, or by email at techservices@spectrumchemical.com.

Sincerely,

Darlene Dagdag-Lyudmirskiy
Technical Services
Spectrum Chemical Mfr. Corp.

This document has been produced electronically and is valid without a signature



April 20, 2016

Re: Polysorbate 20, NF-PO132

To Whom It May Concern:

Thank you for your interest in Spectrum high quality chemicals.

We at Spectrum Chemical Mfg. Corp. understand the concern regarding Aflatoxins in raw materials. To the best of our knowledge, the product listed below is not contaminated with Aflatoxins. Aflatoxins are not used in production. The following product does not come in contact with Aflatoxins at any stage of production.

Polysorbate 20, NF-PO132

If you have any further questions, please contact Tech Services at (310) 516-8000 Extension 5471, or by email at techservices@spectrumchemical.com.

Sincerely,

Darlene Dagdag-Lyudmirskiy
Technical Services
Spectrum Chemical Mfr. Corp.

This document has been produced electronically and is valid without a signature

Label Information

The Spectrum label presents technical and safety information in an easily understood format. Our technical specialists stay abreast of the latest requirements of the Globally Harmonized System for Classification and Labelling of Chemicals (GHS), as well as the Occupational Safety and Health Administration (OSHA), the Food and Drug Administration (FDA) and other government regulatory agencies in order to ensure compliance, accuracy and concise hazard communication.

SPECTRUM[®]

P0132 **F-4 L**

Polysorbate 20

N.F.

CAS 9005-64-5

USE CARE: This material has been evaluated per GHS/OSHA criteria and is not classified as hazardous. All chemicals may pose unknown hazards and must be used with caution. Use personal protection and safe handling methods consistent with proper workplace safety practices. Consult SDS for additional properties and precautions. If adverse reaction occurs seek medical attention.

KEEP FROM CHILDREN

CAUTION: For manufacturing, processing or repackaging. **Read and understand the label and Safety Data Sheet (SDS) prior to use.**

Chemical Emergency: (800)424-9300

www.SpectrumChemical.com

WARNING: This product contains a chemical known to the State of California to cause cancer.

WARNING: This product contains a chemical known to the State of California to cause birth defects or other reproductive harm.

LIGHT SENSITIVE, MOISTURE SENSITIVE: Keep tightly closed in light-resistant containers.

Lot No. 062233

Retest Date: 03-31-2025

Source: Vegetable

Saponification Value	40-50
Hydroxyl Value	96-108
Composition of Fatty Acids	To pass test
MAXIMUM LIMITS	
Water	3.0%
Peroxide Value	10.0
Acid Value	2.0
Residue on Ignition	0.25%
Heavy Metals	0.001%
Dioxane	10 ppm
Ethylene Oxide	1 ppm
Residual Solvents	To pass test

SPECTRUM CHEMICAL MFG. CORP.

Gardena, CA 90248 • New Brunswick, NJ 08901

- A** Bar Code
- B** GHS Hazard Pictograms
- C** Warning, Safety Data and Precautionary Information
- D** Product Catalog Number
- E** Item Name, Form, Grade
- O** Storage Color Band
- F** Size/Quantity
- G** Chemical Abstracts Service (CAS) Registry Number
- H** Caution Information
- I** DOT Shipping Information
- J** Toll-Free 24-Hour Chemical Emergency Telephone Number
- K** Empirical Formula, Formula Weight and Technical Specifications Panel
- L** Expiration Information
- M** Lot Number
- N** Kosher Certified by Kosher Supervision of America (KSA).

This color-coded band indicates the hazard most likely to be posed by that material under storage conditions. The criteria used to assign band colors have been established by the Storage Code working group of the Society for Chemical Hazard Communication (SCHC). It is recommended that like colors be stored together, unless otherwise stated, based on the color codes indicated to the right. However, it is the responsibility of the customer to check with their local fire department for possible conflicts with our recommendations when storing hazardous chemicals.

- YELLOW:** Oxidizer
- RED:** Flammable
- GREEN:** General Storage
- BLUE:** Health Hazard
- WHITE:** Corrosive

Spectrum Chemical Mfg Corp

Corporate Headquarters:

769 Jersey Ave.
New Brunswick, NJ 08901

732.214.1300

West Coast Facility:

14422 S. San Pedro St.
Gardena, CA 90248

310.516.8000

Item Number	PO132	Lot Number	1FA0159
Item	Polysorbate 20, NF		
CAS Number	9005-64-5		
Molecular Formula		Molecular Weight	

Test	Specification		Result
	min	max	
SAPONIFICATION VALUE	40 - 50		46.01
HYDROXYL VALUE	96 - 108		101.7
COMPOSITION OF FATTY ACIDS	TO PASS TEST		PASSES TEST
WATER		3.0 %	<3.0 %
PEROXIDE VALUE		10.0	3.1
ACID VALUE		2.0	1.8
RESIDUE ON IGNITION		0.25 %	<0.25 %
HEAVY METALS		0.001 %	<10 ppm
DIOXANE		10 ppm	<1 ppm
ETHYLENE OXIDE		1 ppm	<1 ppm
IDENTIFICATION	TO PASS TEST		PASSES TEST
RETEST DATE			07-AUG-2017
RESIDUAL SOLVENTS	TO PASS TEST		PASSES TEST
APPEARANCE			CLEAR YELLOW LIQUID
MANUFACTURE DATE			07-AUG-2015

Spectrum Chemical Mfg Corp
 14422 South San Pedro Street
 Gardena 90248 CA

Certificate of Analysis Results Certified By:



Adan Hernandez
 Quality Control Manager
 Spectrum Chemicals & Laboratory Products



All pharmaceutical ingredients are tested using current edition of applicable pharmacopeia.

Item Number	PO132	Lot Number	2FC0339
Item	Polysorbate 20, NF		
CAS Number	9005-64-5		
Molecular Formula		Molecular Weight	

Test	Specification		Result
	min	max	
SAPONIFICATION VALUE	40 - 50		44.4
HYDROXYL VALUE	96 - 108		98.3 %
COMPOSITION OF FATTY ACIDS	TO PASS TEST		PASSES TEST
WATER		3.0 %	2.25 %
PEROXIDE VALUE		10.0	3.3
ACID VALUE		2.0	1.2
RESIDUE ON IGNITION		0.25 %	0.10 %
HEAVY METALS		0.001 %	<0.0005 %
DIOXANE		10 ppm	<1 ppm
ETHYLENE OXIDE		1 ppm	<1 ppm
IDENTIFICATION	TO PASS TEST		PASSES TEST
RETEST DATE			13-OCT-2017
RESIDUAL SOLVENTS	TO PASS TEST		NO RESIDUAL SOLVENTS USED
APPEARANCE			PALE YELLOW VISCOUS LIQUID
DATE OF MANUFACTURE			13-OCT-2015

Spectrum Chemical Mfg Corp
755 Jersey Avenue
New Brunswick 08901 NJ

Certificate of Analysis Results Certified By:




Mulbah F. Dwanah
Laboratory Supervisor
Spectrum Chemicals & Laboratory Products

All pharmaceutical ingredients are tested using current edition of applicable pharmacopeia.

January 26, 2016

RE: Lot Numbering System

Dear Valued Customer:

This letter is to inform you of Spectrum Chemicals and Laboratory Products' Lot Numbering System. The system is based on an alpha-numerical sequence which provides the month, year and location of production.

The lot numbering system utilized until 2010 is a sequence of **six characters**, two letters followed by four numbers. The first letter represents the year, for example, Y denotes 2009 and Z denotes 2010. The second letter represents the month and site, for example, A-L denotes January through December at Spectrum's Gardena, CA facility, while M-X denotes January through December at the New Brunswick, NJ facility. The following four numbers are sequentially assigned.

Example: ZI0928 = The 928th material produced in California in September 2010

The lot numbering system utilized for 2011 and forward, is a sequence of **seven characters**. The first character, a number, represents the production facility:

- 1 = Gardena, CA Facility
- 2 = New Brunswick, NJ Facility
- 3 = China Facility

The second character, a letter, represents the year. For example, A denotes 2011 and B denotes 2012. The third character, a letter, represents the month, with A denoting January and L denoting December. The following four numbers are sequentially assigned.

Example: 2AA0706 = The 706th material produced in New Jersey in January 2011

Thank you for your interest with Spectrum products. Please feel free to contact us at 310-516-8000 or via email at qualityassurance@spectrumchemical.com if we may be of further assistance.

Sincerely,



Michael Dang
Manager, GMP Compliance



Stability – Shelf Life Guidance

Product Covered: PO132, Polysorbate 20, NF

Spectrum Chemical's manufacturing partner has assigned the following shelf life guidance for the product listed above.

The product, PO132, Polysorbate 20, NF, is assigned a 24 months shelf life from date of manufacturing. The quality and integrity of the chemical depends on the handling and storage conditions. Please refer to the Safety Data Sheet for proper storage and handling procedures.

Adan R. Hernandez
Quality Control Manager

A handwritten signature in black ink that reads "Adan R. Hernandez".

Corporate Headquarters
769 Jersey Avenue
New Brunswick, NJ 08901-3605